PTO/SB/08B (04-07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1448	/PTO		Complete If Known				
			Application Number	09/782,593			
INFORMATI	ION DIS	CLOSURE	Filing Date	February 12, 2001			
STATEMENT BY APPLICANT			First Named Inventor	Marc VanHeyningen			
(I)co oe ma	ny chooks on a	and the same	Art Unit	2135			
(Use as many sheets as necessary)			Examiner Name	Yin Chen Shaw			
Sheet of Attorney Docket Number PA4404US							

<u> </u>		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title the liem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issunumber(s), publisher, city and/or country where published.					
:TYCS/		"Nokla VPN Gateways: Patented IP Clustering Technology Provides True Active Session Failever and Dynamic Load Balancing," Tech Note, Nokia Connecting People					
		"BCM5820 E-Commerce Processor," BCM 5820 Product Brief, Broadcom, 2000, Irvine, California.					
		S. ARMSTRONG et al., "Multicast Transport Protocol," Network Working Group, Request for Commnets: 1301, February 1992.					
/YCS/		ROBERT UZGALIS, "Hasing Concepts and the Java Programming Language," Computer Science Departement, University of Auckland, Auckland, New Zealand, 1996.					
	-						

	·	 		
Examiner Signature	/Yin Chen Shaw/	Date Considered	09/17/2007	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is ettached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#4

								Sheet 1 of	1			
	PTO-1449 (Modified)			ATTY. DOCKET NO. 05313.00001		SERIAL N 09/7,82,59						
		EPARTMENT OF COM T AND TRADEMARK		APPLICANT Marc D. Van Heyningen								
QE JCIPS	INFORMA'	TION DISCLOSURE S' BY APPLICANT	TATEMENT	FILING DATE February 12, 2001		GROUP A	DECENED					
o, un	빝		U	S. PATENT DOCUMENTS								
Mile 2.3	KAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	<u> </u>	CLASS	SUB CLASS	FILING				
STENT & TRAD					· · · · · · · · · · · · · · · · · · ·	<u> </u>	<u> </u>		5			
						+			1			
			FOR	EIGN PATENT DOCUM	IENTS	<u> </u>	L	!	J			
	EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTR		CLASS	SUB CLASS	TRANSLATION YES/NO				
÷									_			
								2				
	1 6			Including Author, Title, I					ר			
	H8	Wireless Application Protocol, Wireless Transport Layer Security Specification, WAP WTLS WAP-199-WTLS, Version 18, February 2000, 99 pages.										
	708	D. Simon: Microsoft Corporation, Microsoft Corporation's PCT Protocol, Document version 2.00, April 1996, 38 pages.										
y.o.x.	169	E. Rescorla: "SSL and TLS, Designing and Building Secure Systems" Addison Wesley Publishing, pages 43-55, 57-67, and 88-91.							6/2000			
y. C. *	. 16	S. Thomas: "SSL and TLS Essentials, Securing the Web" Wiley Publishing, Table of Contents pages ix-xiii, pages 10-11, page 13, and pages 118-129 (additional information from this book will be provided upon the Examiner's request).							02/2000			
V	Ho	Information printed from website (www.openssl.org/docs/apps/openssl.html) on 07/02/2001, 7 pages.										
	3	Information printed from website (www.openssl.org/docs/ssl/ssl.html) on 07/02/2001, 15 pages.										
	He	Information printed from website (www.openssl.org/docs/crypto/crypto.html) on 07/02/2001, 2 pages.										
5.1	Ho	Information printed from website (www.openssl.org/docs/HOWTO/) on 07/02/2001, 1 page.										
y.c. *	H	B. Tung: "KERBEROS A Network Authentication System" Addison Wesley Networking Basics Series, entire book pages vii-164.							1999			
V	Information printed from website (www.broadcom.com/doc/promo5820.html) on 8/15/01, 6 pages.											
	EXAMINER July John John Date considered 06/04/04											
	EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.											

Ids w/1449 form filed: August 23, 2001